# United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

#### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG. London

Caspian Capital Partners, L.P.

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London 60 Wall Street

3rd Floor

New York, NY 10005 Attention: Rich Vichaidith

Phone: 212-250-5760

Fax: 212-797-8770

Last Four Digits of Acct #: N/A

Court Claim # (if known): 66523 Amount of Claim: \$10,958,671,90 Date Claim Filed: April 9, 2010

Phone: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Phone: 212-250-5760

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my Rhowledge and belief.

to the best of my knowledge and belief.

Transferee/Transferee's Agent

Date: 19 Tebrary 2011

Penalty for making a false statement. Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Poss Miller Director

A Chael Sution National Disease

#### EXHIBIT F

#### EVIDENCE OF TRANSFER OF CLAIM

TO: Clerk, United States Bankruptcy Court, Southern District of New York

AND TO:

Lehman Brothers Holdings Inc. ("<u>Debtor</u>")
Case No. 08-13555 (JMP) (Jointly Administered)

Caspian Capital Partners, L.P. ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of an Agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to Deutsche Bank AG, London ("Purchaser"), all right, title and interest in and to the claims of Seller against LEHMAN BROTHERS HOLDINGS INC. (and its affiliates) in the amount of \$10,958,671.90, docketed as Claim No. 66523 which superseded Claim Nos. 37214 and 27489 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555 (JMP) (jointly administered) ("Case").

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this transfer and sale of the Claim as an unconditional sale and Purchaser herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to Purchaser.

IN WITNESS WHEREOF, dated at	s of the day of WAVAY, 2011.
Caspian Capital Partners, L.P. By: Mariner Investment Group, LLC, as Investment	nent Advisor
By: (Signature of authorized corporate officer)	-
Name: Richard Holahan Title: Anthorized Signatory Tel.: 212 896-7539	
Deutsche Bank AG, London	1
ву:	By: MARANA
(Signature of authorized corporate officer)	(Signature of authorized corporate officer)
Name:Title:	Name:Title:
Tel.: Ross Miller Director	Michael Satton Managing (Swerter)

Lehman (Fondazione) Caspian to DB Assignment v3.doc

# United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG, London

Caspian Capital Partners, L.P.

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London 60 Wall Street

3rd Floor

New York, NY 10005

Attention: Rich Vichaidith Phone: 212-250-5760

Fax: 212-797-8770

Last Four Digits of Acct #: N/A

Court Claim # (if known): 66524 Amount of Claim: \$10,649,998.65 Date Claim Filed: April 9, 2010

Phone: N/A

Last Four Digits of Acct. #: N/A

ic inserval

Name and Address where transferee payments should be sent (if different from above):

Phone: 212-250-5760

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

Ross Miller Director

Schad Sutton Waging Director

TO: Clerk, United States Bankruptcy Court, Southern District of New York

AND TO:

Lehman Brothers Holdings Inc. ("<u>Debtor</u>") Case No. 08-13555 (JMP) (Jointly Administered)

Caspian Capital Partners, L.P. ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of an Agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to Deutsche Bank AG, London ("Purchaser"), all right, title and interest in and to the claims of Seller against LEHMAN BROTHERS SPECIAL FINANCING INC. (and its affiliates) in the amount of \$19,649,998.65, docketed as Claim No. 66524 which superseded Claim Nos. 40723 and 29731 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13888 (JMP) (jointly administered) ("Case").

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this transfer and sale of the Claim as an unconditional sale and Purchaser herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to Purchaser.

IN WITNESS WHEREOF, dated as of the 6 day of FEBLURY Caspian Capital Partners, L.P. By: Mariner Investment Group, LLC, as Investment Advisor (Signature of authorized corporate officer) Title: Authorized 212. 826. 752 Deutsche Bank AG, London (Signature of authorized corporate officer) (Signature of authorized corporate officer) Name: Name: Title: Title: Ross Mille Tel.: Tel.: Michael 9-9 Managins, 871445 Director

# United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

#### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

***************************************						

Deutsche Bank AG, London

Name of Transferee

Caspian Select Credit Master Fund, Ltd.

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London 60 Wall Street 3<sup>rd</sup> Floor New York, NY 10005 Attention: Rich Vichaidith Phone: 212-250-5760

212-797-8770

Court Claim # (if known): 66523 Amount of Claim: \$19,752,056.22 Date Claim Filed: April 9, 2010

Last Four Digits of Acct. #: N/A

Phone: N/A

Name of Transferor

Last Four Digits of Acct #: N/A

Name and Address where transferee payments should be sent (if different from above):

Phone: 212-250-5760

Last Four Digits of Acct #: N/A

to the best of my knowledge and belief.

Transferee/Transferee's Agent

Date: 16 FISHING 2011

Penalty for making a false statement: Fine of up to \$600,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

I declare under penalty of perjury that the information provided in this notice is true and correct

Ross Miller Director Promisel Sutton Wanagrig Dresder

TO: Clerk, United States Bankruptcy Court, Southern District of New York

AND TO:

Lehman Brothers Holdings Inc. ("Debtor")

Case No. 08-13555 (JMP) (Jointly Administered)

Caspian Select Credit Master Fund, Ltd. ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of an Agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to Deutsche Bank AG, London ("Purchaser"), all right, title and interest in and to the claims of Seller against LEHMAN BROTHERS HOLDINGS INC. (and its affiliates) in the amount of \$19,752,056.22, docketed as Claim No. 66523 which superseded Claim Nos. 37214 and 27489 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555 (JMP) (jointly administered) ("Case").

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this transfer and sale of the Claim as an unconditional sale and Purchaser herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to Purchaser.

IN WITNESS WHEREOF, dated as of the 16 day of FEBLIN Caspian Select Credit Master Fund, Ltd. By: Mariner Investment Group, LLC, as Investment Advisor (Signature of autho Holahan horized Title: Signa to 212-876-7539 Tel.: Deutsche Bank AG, London (Signature of authorized corporate officer) (Signature of authorized corporate officer) Name: Name: Title: Title: Michael Sulton Ross Miller Tel.: Tel.: Director

# United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

#### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG, London

Caspian Select Credit Master Fund, Ltd.

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London 60 Wall Street 3rd Floor

New York, NY 10005 Attention: Rich Vichaidith Phone: 212-250-5760

Fax: 212-797-8770

Court Claim # (if known): 66524 Amount of Claim: \$19,195,699.45 Date Claim Filed: April 9, 2010

Last Four Digits of Acct. #: N/A

Phone: N/A

Last Four Digits of Acct #: N/A

Name and Address where transferee payments

should be sent (if different from above):

Phone: 212-250-5760

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my-knowledge and belief.

Transferee/Transferee's Agent

Date: 16 fbbWW 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

Ross Miller Director Micrael Sutton Managing Director

TO: Clerk, United States Bankruptcy Court, Southern District of New York

AND TO:

Lehman Brothers Holdings Inc. ("Debtor")

Case No. 08-13555 (JMP) (Jointly Administered)

Caspian Select Credit Master Fund, Ltd. ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of an Agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to Deutsche Bank AG, London ("Purchaser"), all right, title and interest in and to the claims of Seller against LEHMAN BROTHERS SPECIAL FINANCING INC. (and its affiliates) in the amount of \$19,195,699.45, docketed as Claim No. 66524 which superseded Claim Nos. 40723 and 29731 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13888 (JMP) (jointly administered) ("Case").

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this transfer and sale of the Claim as an unconditional sale and Purchaser herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to Purchaser.

IN WITNESS WHEREOF, dated as of the 6 day of fabling Caspian Select Credit Master Fund, Ltd. By: Mariner Investment Group, LLC, as Investment Advisor (Signature of authorized corporate officer) Title: Authorized Signatory 212-826-7539 Deutsche Bank AG, London (Signature of authorized corporate officer) (Signature of authorized corporate officer) Name: Name: Title: Title: Michael Sulton **Director** Managing Offecto

## United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

#### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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Name of Transferee

Name of Transferor

Deutsche Bank AG, London

Mariner LDC

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London 60 Wall Street

3rd Floor

New York, NY 10005

Attention: Rich Vichaldith Phone: 212-250-5760

Fax: 212-797-8770

Last Four Digits of Acct #: N/A

Court Claim # (if known): 66523 Amount of Claim: \$3,438,015,30 Date Claim Filed: April 9, 2010

Phone: N/A

Last Four Digits of Acct. #: N/A

16 GBRUNH 2011

Name and Address where transferee payments should be sent (if different from above):

Phone: 212-250-5760

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my-knowledge and belief.

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Transferee/Transferee's Agent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

Ross Miller Director Michael Sutton danaging Director

TO: Clerk, United States Bankruptcy Court, Southern District of New York

AND TO:

Lehman Brothers Holdings Inc. ("<u>Debtor</u>") Case No. 08-13555 (JMP) (Jointly Administered)

Mariner LDC ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of an Agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to Deutsche Bank AG, London ("Purchaser"), all right, title and interest in and to the claims of Seller against LEHMAN BROTHERS HOLDINGS INC. (and its affiliates) in the amount of \$3,438,015.30, docketed as Claim No. 66523 which superseded Claim Nos. 37214 and 27489 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555 (JMP) (jointly administered) ("Case").

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this transfer and sale of the Claim as an unconditional sale and Purchaser herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to Purchaser.

IN WITNESS WHEREOF, dated as of the 16 day of FESCIPY, 2011. Mariner LDC By: Mariner Investment Group, LLC, as Investment Advisor (Signature of authorized corporate officer) Title: Authorized 312-876. Deutsche Bank AG, London (Signature of authorized corporate officer) (Signature of authorized corporate officer) Name: Name: Title: Title: Ross Miller Tel.: Tel.: Director

# United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

#### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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Name of Transferee

Name of Transferor

Deutsche Bank AG, London

Mariner LDC

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London 60 Wall Street

3rd Floor

New York, NY 10005 Attention: Rich Vichaidith

Phone: 212-250-5760 Fax: 212-797-8770

Last Four Digits of Acct #: N/A

Court Claim # (if known): 66524 Amount of Claim: \$3,341,176.62

Date Claim Filed: April 9, 2010

Phone: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Phone: 212-250-5760

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

TO: Clerk, United States Bankruptcy Court, Southern District of New York

AND TO:

Lehman Brothers Holdings Inc. ("Debtor")

Case No. 08-13555 (JMP) (Jointly Administered)

Mariner LDC ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of an Agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to Deutsche Bank AG, London ("Purchaser"), all right, title and interest in and to the claims of Seller against LEHMAN BROTHERS SPECIAL FINANCING INC, (and its affiliates) in the amount of \$3,341,176.62, docketed as Claim No. 66524 which superseded Claim Nos. 40723 and 29731 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13888 (JMP) (jointly administered) ("Case").

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this transfer and sale of the Claim as an unconditional sale and Purchaser herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to Purchaser.

IN WITNESS WHEREOF, dated as of the 6 day of FEBURY Mariner LDC By: Mariner Investment Group, LLC, as Investment Advisor (Signature of authorized corporate officer) Name: Holahan Title: Anthorized 212-826-Deutsche Bank AG, London (Signature of authorized corporate officer) (Signature of authorized corporate officer) Name: Name: Title: Title: tax nael Sutton Managing Directo Tel.: Tel .:

Lehman (Fondazione) Caspian to DB Assignment v3.doc

# United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee	Name of Transferor
Deutsche Bank AG, London	Caspian Alpha Long Credit Fund, L.P.
Name and Address where notices to transferee	

Court Claim # (if known): 66523

Amount of Claim: \$1,504,130.18

16 FEBRUARY 2011

Date Claim Filed: April 9, 2010

Phone: N/A

Should be sent:

Deutsche Bank AG, London

60 Wall Street

New York, NY 10005 Attention: Rich Vichaidith Phone: 212-250-5760

Fax: 212-797-8770

3rd Floor

Last Four Digits of Acct #: N/A Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Phone: 212-250-5760

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

Ross Miller Director es presid School Principes Director

TO: Clerk, United States Bankruptcy Court, Southern District of New York

AND TO:

Lehman Brothers Holdings Inc. ("<u>Debtor</u>") Case No. 08-13555 (JMP) (Jointly Administered)

Caspian Alpha Long Credit Fund, L.P. ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of an Agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to Deutsche Bank AG, London ("Purchaser"), all right, title and interest in and to the claims of Seller against LEHMAN BROTHERS HOLDINGS INC. (and its affiliates) in the amount of \$1,504,130.18, docketed as Claim No. 66523 which superseded Claim Nos. 37214 and 27489 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555 (JMP) (jointly administered) ("Case").

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this transfer and sale of the Claim as an unconditional sale and Purchaser herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to Purchaser.

IN WITNESS WHEREOF, dated as of the day of FEBWAN, 2011.

Caspian Alpha Long Credit Fund, L.P.

By: Mariner Investment Group, LLC, as Investment Advisor

By: Signature of authorized corporate officer)

Name: RChard Holahan

Title: DB 896 1539

Deutsche Bank AG, London

By: By: Signature of authorized corporate officer)

Name: Name: Title: Signature of authorized corporate officer)

Managing Director

Cirecto

Tel.:

# United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

#### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG, London

Caspian Alpha Long Credit Fund, L.P.

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London

60 Wall Street

3<sup>rd</sup> Floor

New York, NY 10005 Attention: Rich Vichaidith

Phone: 212-250-5760

Fax: 212-797-8770

Court Claim # (if known): 66524 Amount of Claim: \$1,461,763.30

Date Claim Filed: April 9, 2010

Phone: N/A

Last Four Digits of Acct. #: N/A

Date: 16 former 2011

Name and Address where transferee payments

should be sent (if different from above):

Phone: 212-250-5760

Last Four Digits of Acct #: N/A

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct

to the best of my knowledge and belief.

Transferee/Transferee's Agent

Penalty for making a false statement. Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Ross Miller Director

Michael Sulton Macaging Director

TO: Clerk, United States Bankruptcy Court, Southern District of New York

AND TO:

Lehman Brothers Holdings Inc. ("<u>Debtor</u>")
Case No. 08-13555 (JMP) (Jointly Administered)

Caspian Alpha Long Credit Fund, L.P. ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of an Agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to Deutsche Bank AG, London ("Purchaser"), all right, title and interest in and to the claims of Seller against LEHMAN BROTHERS SPECIAL FINANCING INC. (and its affiliates) in the amount of \$1,461,763.30, docketed as Claim No. 66524 which superseded Claim Nos. 40723 and 29731 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13888 (JMP) (jointly administered) ("Case").

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this transfer and sale of the Claim as an unconditional sale and Purchaser herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to Purchaser.

IN WITNESS WHEREOF, dated as of the b day of PROWAH Caspian Alpha Long Credit Fund, L.P. By: Mariner Investment Group, LLC, as Investment Advisor (Signature of authorized corporate officer) 212-836-Deutsche Bank AG, London (Signature of authorized corporate officer) (Signature of authorized corporate officer) Name: Name: Title: Title: Michael Sullor Tel.; Tel.: Director

## United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Deutsche	Bank AG, London	Cas

n Caspian Corporate Loan Fund, LLC

Name of Transferor

Name and Address where notices to transferee should be sent:

Name of Transferee

Deutsche Bank AG, London 60 Wall Street 3<sup>rd</sup> Floor New York, NY 10005 Attention: Rich Vichaidith

Phone: 212-250-5760 Fax: 212-797-8770

Last Four Digits of Acct #: N/A

Court Claim # (if known): 66523 Amount of Claim: \$1,983,470.75 Date Claim Filed: April 9, 2010

Phone: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Phone: 212-250-5760 Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U S.C. §§ 152 & 3571.

Ross Miller Director AND DATES

TO: Clerk, United States Bankruptcy Court, Southern District of New York

AND TO:

Lehman Brothers Holdings Inc. ("<u>Debtor</u>") Case No. 08-13555 (JMP) (Jointly Administered)

Caspian Corporate Loan Fund, LLC ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of an Agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to Deutsche Bank AG, London ("Purchaser"), all right, title and interest in and to the claims of Seller against LEHMAN BROTHERS HOLDINGS INC. (and its affiliates) in the amount of \$1,983,470.75, docketed as Claim No. 66523 which superseded Claim Nos. 37214 and 27489 (the "Claim") in the United States Bankruptey Court, Southern District of New York, Case No. 08-13555 (JMP) (jointly administered) ("Case").

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this transfer and sale of the Claim as an unconditional sale and Purchaser herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to Purchaser.

IN WITNESS WHEREOF, dated as of the b day of READING, 2011. Caspian Corporate Loan Fund, LLC By: Mariner Investment Group, LLC, as Investment Advisor (Signature of authorized corporate officer) 20-886-75 Deutsche Bank AG, London (Signature of authorized corporate officer) (Signature of authorized corporate officer) Name: Name: Title: Title: Tel.: Tel.: <del>Ross Miller</del> Director

## **United States Bankruptcy Court** Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

Court Claim # (if known): 66524

Amount of Claim: \$1,927,602.27

Date Claim Filed: April 9, 2010

Last Four Digits of Acct. #: N/A

2011

16 hopemay

Phone: N/A

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee	Name of Transferor
Deutsche Bank AG, London	Caspian Corporate Loan Fund 11 C

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London 60 Wall Street 3rd Floor New York, NY 10005 Attention: Rich Vichaidith Phone: 212-250-5760

Fax: 212-797-8770

Name and Address where transferee payments should be sent (if different from above):

Phone: 212-250-5760

Last Four Digits of Acct #: N/A

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

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Hoss Miller Director

TO: Clerk, United States Bankruptcy Court, Southern District of New York

AND TO:

Lehman Brothers Holdings Inc. ("<u>Debtor</u>")
Case No. 08-13555 (JMP) (Jointly Administered)

Caspian Corporate Loan Fund, LLC ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of an Agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to Deutsche Bank AG, London ("Purchaser"), all right, title and interest in and to the claims of Seller against LEHMAN BROTHERS SPECIAL FINANCING INC. (and its affiliates) in the amount of \$1,927,602,27, docketed as Claim No. 66524 which superseded Claim Nos. 40723 and 29731 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13888 (JMP) (jointly administered) ("Case").

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this transfer and sale of the Claim as an unconditional sale and Purchaser herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to Purchaser.

Caspian Corporate Loan Fund, LLC
By: Mariner Investment Group, LLC, as Investment Advisor

By:

(Signature of authorized corporate officer)

Name: RChard Holakad

Title: Authorized Signatory

Tel.: 22 826 7532

Deutsche Bank AG, London

By:

(Signature of authorized corporate officer)

Name: Name: Title: Title: Signatory

Tel.: 4 1853 Miller Tel.: Visaging Benefits

Director